

## Participant Flow Data Preparation Checklist

**Overview:** The Participant Flow module is a tabular summary of participants' progress through each stage of a study by assignment group. Use this checklist with the [Participant Flow Template](#) and [Results Data Element Definitions](#) to complete this module of the results section.

| Select | Information to have available for Participant Flow   | Data Element  |
|--------|--|---|
|        | <ul style="list-style-type: none"> <li>Conceptual overview of the study design, including the type (e.g., single group, cross-over, parallel) and any distinct stages (e.g., double-blind then open-label)                             <ul style="list-style-type: none"> <li><b>Tip:</b> Have a <a href="#">CONSORT flow diagram</a> available.</li> </ul> </li> </ul>  |   |
|        | <ul style="list-style-type: none"> <li>Description of any significant study events that occurred after participants were enrolled, but before they were assigned to a study group (e.g., run-in phase or washout)</li> </ul>   | [*]Pre-assignment Details   |
|        | <ul style="list-style-type: none"> <li>Number of groups that accurately describes the study design from participant assignment to completion                             <ul style="list-style-type: none"> <li><b>Tip:</b> The number of groups is typically equal to the number of unique paths (participant experiences) in a CONSORT flow diagram, from beginning to end. Each group will be reported as a table column.</li> </ul> </li> </ul>  | *Arm/Group Information  |
|        | <ul style="list-style-type: none"> <li>For each group:                             <ul style="list-style-type: none"> <li>Title—Descriptive label for the group                                     <ul style="list-style-type: none"> <li><b>Tip:</b> Use informative labels (e.g., “Placebo”), not generic labels (e.g., “Group 1”). The label will become the header for that table column.</li> </ul> </li> <li>Description—Detailed explanation of the interventions administered or the groups observed during each stage of the study                                     <ul style="list-style-type: none"> <li><b>Tip:</b> Include details about the intervention (e.g., dosage, dosage form, frequency and duration of administration) or observation.</li> </ul> </li> </ul> </li> </ul>  | *Arm/Group Title<br><br>*§Arm/Group Description   |
|        | <ul style="list-style-type: none"> <li>Number of discrete stages or intervals of activity in the study</li> </ul>  | *Period(s)  |
|        | <ul style="list-style-type: none"> <li>Descriptive title for each period (the default for one Period is “Overall Study”)                             <ul style="list-style-type: none"> <li><b>Tip:</b> A Period Title should describe what happened during that period of the study. For example, “Double-blind (0 to 24 weeks)” and “Open-label (24 to 48 weeks)” are more descriptive than “Period 1” and “Period 2.”</li> </ul> </li> </ul>  | *Period Title   |
|        | <ul style="list-style-type: none"> <li>Number of participants that Started and Completed each period:                             <ul style="list-style-type: none"> <li>Started—Participants initiating each period (e.g., the number of participants assigned or randomized to each group for that period)                                     <ul style="list-style-type: none"> <li><b>Tip:</b> If the number of participants starting the first period is different from the total enrolled in the study, explain why in Pre-assignment Details.</li> </ul> </li> <li>Completed—Participants still in the study at the end of the period</li> <li><b>Tip:</b> If the unit of assignment is a unit other than participants, specify the name of the unit (e.g., eyes, lesions, implants) and provide the number of units.</li> <li><b>Tip:</b> Each unit of assignment (participants or units other than participants) should only be represented in one group. For example, if the unit of assignment is participants, do not count a participant more than once by including them in more than one group.</li> <li><b>Tip:</b> Use the Additional Milestone field to record any specific events or time points in the study between the Started and Completed milestones.</li> </ul> </li> </ul> | *Started<br><br>*Completed<br><br>[*]Type of Units Assigned<br><br>Additional Milestone |

*\*Required*

*\*§ Required if Primary Completion Date is on or after January 18, 2017*

*[\*] Conditionally required*

| Select | Information to have available for Participant Flow   | Data Element                          |
|--------|--|---------------------------------------|
|        | <ul style="list-style-type: none"><li>Number of participants for each period and group that did not complete and the reasons they did not complete</li></ul> | Not Completed<br>Reason Not Completed |

*\*Required*

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*[\*] Conditionally required*